

**Kroc Institute**  
for International Peace Studies

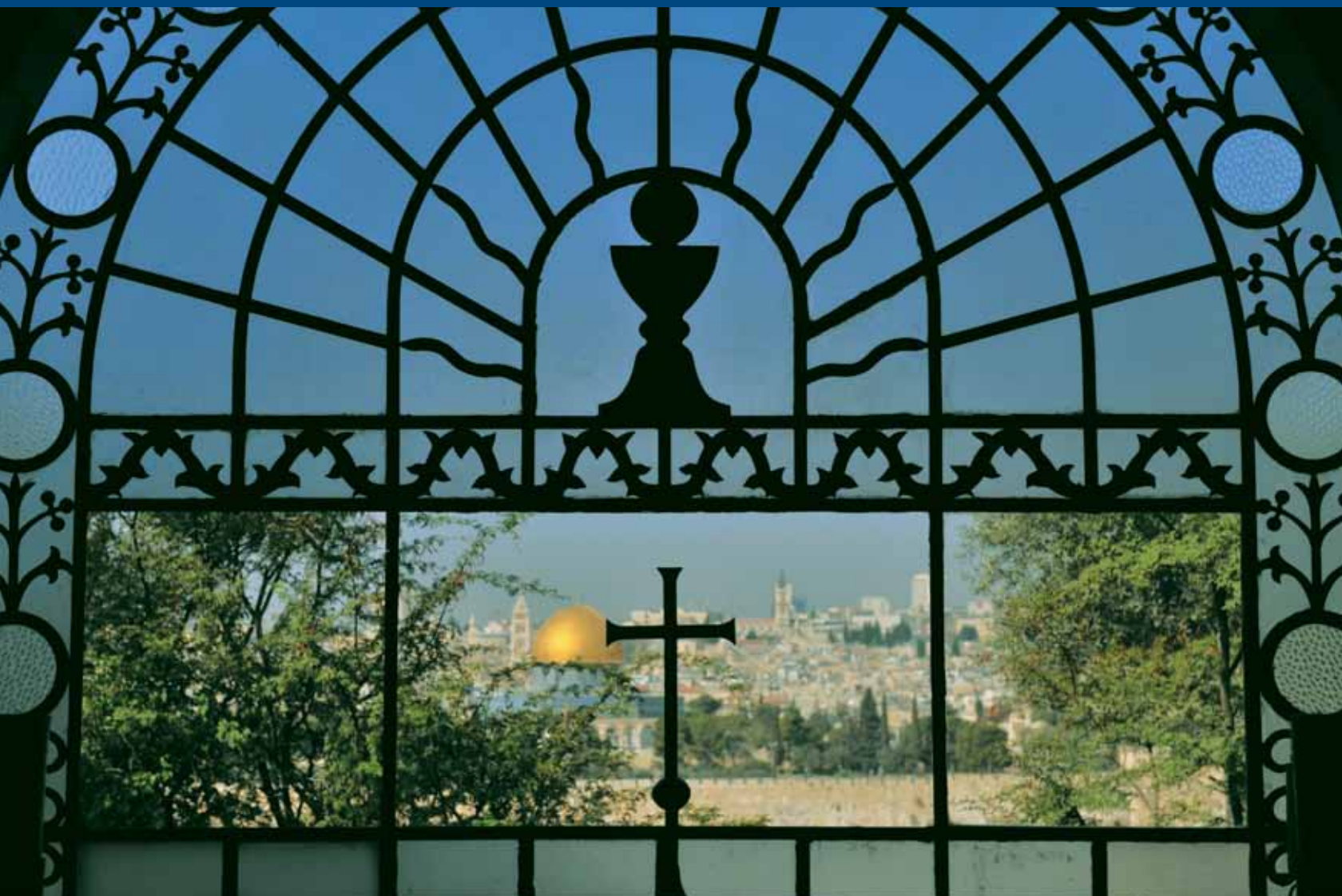
UNIVERSITY OF NOTRE DAME



**2008–09** The Year in Review







***On the cover: Two golden domes***

The University of Notre Dame and the Dome of the Rock in Jerusalem are among the most recognized icons of Roman Catholicism and Islam, whose followers comprise one-third of the human population. This year, the Kroc Institute invited Muslim and Catholic scholars to collaborate on a multi-year research project to examine global challenges confronting the world's two largest religious communities. Read more on page 9.

# The time is right for strategic peacebuilding

## From the Director

Monumental news during the 2008–09 academic year ranged from the wars in Iraq and Afghanistan to the election of the first African-American President of the United States. But the issue that rose to the top was the burgeoning global economic crisis, the disastrous effects of which continue to be felt as we head into the latter part of 2009.

Consider the downturn's implications for violent conflict around the world. New fiscal constraints on wealthier nations impair international campaigns to reduce poverty, fight disease, and alleviate the conditions that fuel conflict. Governments are limited in their ability to address environmental crises and prevent resource wars. Mass unemployment and human suffering present new openings for tyrants and dictators. Violent ethnic and religious extremists find ways to exploit the failures of the financial institutions and political systems they have long derided. People everywhere feel victimized by the corruption, greed, and mismanagement that the crisis has brought into the open.

Clearly, peace scholarship and education are vital. Seldom has the need been so pressing for a comprehensive peace studies institute—one that investigates critical issues across the spectrum of concerns, educates strategic peacebuilders, and empowers policymakers and practitioners to implement new solutions. And never before has strategic peacebuilding, the Kroc Institute's signature approach to conceptualizing and building a sustainable and just peace, been so relevant.

## Integrating local and global

Strategic peacebuilders create and nurture constructive long-term relationships between local people and communities (such as peasant organizations, refugees, women's collectives, religious groups, labor unions), on the one hand, and transnational and global policymakers and power-brokers (such as the World Bank, the United Nations, the European Union, governments), on the other. They seek to understand and exploit the increasing interdependence of actors on the world stage. To discern the connections among authoritarian regimes, stunted economic development, and religious extremism, for example, peace scholars must also consider the broader contexts of such conflict-generating dynamics. They ask: how might the policies and practices of the European Union, the United States, the World Bank, the United Nations, and nongovernmental organizations contribute solutions to the conflict? (Or, how did such policies cause or exacerbate the conflict?) Kroc's growing interdisciplinary faculty is increasingly able to undertake this kind of multidimensional scholarship.



She has worked intensively in Sierra Leone, seeking to understand the psychological and social dynamics of people struggling to survive and preserve their dignity during sustained violence and war. Much of her work is directly relevant to the United Nations, nongovernmental organizations, policymakers, and others charged with helping to rebuild war-torn societies.

### Interdisciplinary strength

Professor Bolten will find conversation and collaboration partners not only among Kroc's religionists, but also with our researchers trained in

During the year, we worked with renewed intensity to develop the Kroc Institute's capacity as a preeminent peace research center. A top priority has been the growth and consolidation of our peace studies faculty as one that embodies the vision of our founders and "patron saints," the Rev. Theodore M. Hesburgh, C.S.C., president emeritus of Notre Dame, and the late philanthropist Joan B. Kroc.

The appointment of Emad Shahin, an Egyptian political scientist, as Luce Associate Professor of Religion, Conflict, and Peacebuilding, gives the Kroc Institute no fewer than *eight* experts in the related areas of religion and conflict, ethics of war and peace, and faith-based peacebuilding. With this critical mass of faculty in place, we are developing a series of cross-disciplinary, international research efforts that will make Kroc's program in religion, conflict, and peace the world's leader in an increasingly relevant area of study.

New faculty members are carefully recruited so that overlapping and mutually reinforcing intellectual interests and moral commitments lay the foundation for truly strategic peacebuilding. Kroc's newest faculty member, the anthropologist Catherine Bolten, is a case in point. An Africanist who earned her doctorate from the University of Michigan, Catherine specializes in the cultural and moral dimensions of development and social reconstruction after war or violent conflict.

other disciplines, including new faculty members Christian Davenport, a political scientist whose extensive research interests range from genocide in central Africa to post-war justice in Northern Ireland, and Mary Ellen O'Connell, Notre Dame's Robert and Marion Short Professor of Law, who, as Kroc's Research Professor of International Dispute Resolution, strengthens our expertise in ethics, human rights, and Catholic social teaching.

Few conflicts today can be understood without the full range of perspectives—the anthropologist's insights into "local knowledge," the political scientist's analysis of regime dynamics, the legal scholar's understanding of international law, or the religionist's sensitivity to what is held sacred by combatants or peacemakers. Moreover, all of these experts must work together to produce a reliable diagnosis, the prelude to any compelling policy prescription.

A desire to increase the influence of peace research on policy is the reason David Cortright, a longtime Kroc research fellow, accepted my offer to become the new director of policy



studies. David is a scholar and prolific writer, a consultant to the United Nations and various governments, and a long-time advocate and teacher of nonviolence. Starting his term with unsurpassed energy, he has already planned a major conference on nuclear nonproliferation to be held in Helsinki, Finland, in October 2009. His appointment allows Jerry Powers, who so ably coordinates the Catholic Peacebuilding Network, to focus fully on his new role as director of Catholic peacebuilding studies while continuing to facilitate the network's growth and strength.

## Plan for the future

The dramatic recent growth in the number and quality of Notre Dame scholar-practitioners dedicated to strategic peacebuilding makes possible the Kroc Institute's ambitious new strategic plan, approved this year by Provost Thomas G. Burish and endorsed by the Kroc Institute Advisory Council. The plan sets forth the steps needed to achieve three overarching and interrelated goals over the next five years.

The first goal is to establish the Kroc Institute as a leader in research on the causes of contemporary conflicts and the means of preventing, resolving, and transforming conflict in pursuit of a sustainable peace. Several externally funded research projects under way are designed to produce influential publications, public conferences, and policy recommendations.

The second goal is to re-imagine Kroc's master's program in light of Kroc's new doctoral program in peace studies. These two graduate programs will complement and strengthen each other, preparing students for professional careers in conflict resolution, policymaking, and organizational leadership (the master's program) and for a lifetime of scholarly research, teaching, and peacebuilding practice (the Ph.D. program).

The third goal is to advance the field of peace studies and influence the larger world, especially by helping create and develop peace studies programs at other universities and by demonstrating the relevance of peace scholarship to national and international debates on matters ranging from nuclear nonproliferation to postwar reconstruction to the mitigation of religious and ethnic violence.

Each of these goals will be amplified by communications strategies designed to enlarge the circle of strategic peacebuilders and expand Kroc's reach among scholars, policymakers, peacebuilders, alumni, and students. Watch for an online journal dedicated to peace policy, a website that illuminates strategic peacebuilding, and new media projects that draw attention to peace research and publications, student learning and education, and the achievements of our alumni peacebuilders worldwide.

During a year of change and crisis, strategic peacebuilding gained momentum, thanks to the many people who supported and accompanied Notre Dame's Kroc Institute, directly or in spirit. We are deeply grateful to you and to all those who work to build a more just and peaceful world.

### Scott Appleby

*Professor of History*

*John M. Regan Jr. Director of the Kroc Institute*



*Scott Appleby*

# Highlights of 2008-09



**Oxford Handbook:** Oxford University Press agreed to publish the *Handbook on Religion and Conflict*, edited by Kroc Director Scott Appleby, Professor Atalia Omer, and Professor David Little of Harvard University Divinity School.

◀ **Master's students:** Seventeen new master's students, from Bulgaria, Canada, Colombia, Democratic Republic of the Congo, Indonesia, Kenya, Nepal, the Philippines, Republic of Macedonia, Tajikistan, Uganda, and the United States, completed their first year at Notre Dame's Kroc Institute.

**Religion in U.S. and international affairs:**

Kroc Director Scott Appleby co-chaired, with Richard Cizik and Abner J. Mikva, the Chicago Council on Global Affairs' Task Force on Religion and the Making of U.S. Foreign Policy. He also co-chairs the advisory committee for the Social Science Research Council's new project to strengthen scholarly and public attention on the role of religion in international affairs.



▲ **Nobel peace laureate:** Shirin Ebadi, lawyer, human rights activist, and the first Iranian and first Muslim woman to receive the Nobel Peace Prize (2003), delivered the Kroc Institute's 15<sup>th</sup> annual Rev. Theodore M. Hesburgh, C.S.C., Lecture in Ethics and Public Policy to an audience of nearly 700 people at the DeBartolo Performing Arts Center.

**Civil society and counter-terrorism:** The Sanctions and Security Project published three reports on the pivotal role civil society plays in counter-terrorism and presented findings to the United Nations and to officers of peacebuilding and development organizations in Washington, D.C. The research was funded by the Dutch international development organization Cordaid. (See story on page 11.)

**Peacebuilding apprenticeships:** With funding from the Fetzer Institute, Kroc launched the Peacebuilding Apprenticeship Initiative, which links promising young peacebuilders with experienced mentors in conflict zones around the world. Kroc professor John Paul Lederach coordinates the program and is one of the mentors.

**Dialogue on nonviolence:** Donald B. Kraybill, scholar, author, and expert on Anabaptist groups, was the speaker for the annual John Howard Yoder Dialogues on Nonviolence, Religion, and Peace. He told the story of Amish forgiveness after the 2006 shootings of 10 schoolgirls in Nickel Mines, Pennsylvania.

▼ **Distinguished alumnus:** Xabier Agirre, a 1995 graduate of the master's program in peace studies, received the Kroc Institute's 2009 Distinguished Alumni Award. Agirre, originally from the Basque region of Spain, is a senior analyst with the International Criminal Court in The Hague, the Netherlands.



▲ **Undergraduate peace conference:** More than 100 students from 20 universities around the United States and overseas participated in the annual undergraduate Peace Studies Conference. The keynote speaker was Lisa Schirch of the 3D Security Initiative, author of *The Little Book of Strategic Peacebuilding* and a professor at Eastern Mennonite University.

**Yarrow Award:** Graduating seniors Brennan Bollman and Maureen Howard received the Kroc Institute's Yarrow Award, given annually to outstanding undergraduate peace studies students committed to service work in peace studies.

**Niebuhr Award:** John Paul Lederach, professor of international peacebuilding, was awarded Notre Dame's Reinhold Niebuhr Award, given annually to a Notre Dame student, faculty member, or administrator whose life and writings promote or exemplify social justice.



**Kroc alumni:** The Kroc Institute's first survey of undergraduate and graduate peace studies alumni resulted in a high response rate and a rich source of data about hundreds of graduates working in 65 countries around the globe.

**Rwanda revisited:** Christian Davenport, professor of peace studies, political science, and sociology, released data that sheds new light on the genocide in Rwanda 15 years ago. He and University of Michigan professor Alan Stam have studied the mass killings in Rwanda for a decade with support in part from the National Science Foundation.

**Ph.D. program:** The Kroc Institute's first five doctoral students in peace studies completed their first year in the program. Four more students have been admitted and will begin their doctoral studies in fall 2009.

**Catholic peacebuilding:** Speaking at the United Nations, a panel of experts that included Kroc's Gerard F. Powers, drew attention to the Church's peacebuilding initiatives around the world. The event was co-sponsored by the Permanent Observer Mission of the Holy See to the United Nations, the Path to Peace Foundation, and the Catholic Peacebuilding Network.

*Read more at [kroc.nd.edu](http://kroc.nd.edu).*

▼ **Master's graduates:** The master's class of 2009 (shown with Professor Robert Johansen) graduated. New Kroc alumni include Kiran Kaur Bains (USA), Martin Costanzo (Argentina), Eric J. Eggleston (USA), Christi Faith Freeman (USA), Kristian Herbolzheimer Flamtermesky (Catalonia), Dareen Khattab (Palestine), Viktor Yanislavov Kotsev (Bulgaria), Aleksandra Kuznetsova (Russia), Hyan Jin Deborah Kwak (South Korea), Dan Sinh Nguyen Vo (Vietnam), Ketevan Nozadze (Georgia), Mihaela Racovita (Romania), Ayesha Saeed (Pakistan), Christina J. Shaheen (USA), Sophat Soeung (Cambodia), Senait Woldu Tesfamichael (Eritrea), Samuel G. Toe (Liberia), and Delgerjargal Uvsh (Mongolia).





▼ **Peace studies valedictorian:** Biology and peace studies undergraduate student Brennan Bollman was valedictorian of the Notre Dame Class of 2009. She met President Barack Obama at Commencement before they each took the podium to address graduating students, families, faculty, officers, and Trustees of the University.



**Teaching peace:** More than 50 faculty members from 19 colleges and universities attended “Teaching Peace in the 21<sup>st</sup> Century,” the Kroc Institute’s first Summer Institute for faculty who wish to launch or strengthen peace studies programs at their institutions. The institute was led by Professor George A. Lopez and facilitated by more than a dozen Kroc faculty members and staff.

**Peace processes:** Research fellow Erik Melander and Professor John Darby received a grant from the National Science Foundation for “Third Party Peacemaking in Civil Wars: The Effectiveness of Information Providers.”

*(See story on page 12.)*

**Globalization and peacebuilding:** Professors Jackie Smith and Ernesto Verdeja received a Kroc research grant for a workshop to bring together scholars of peace, democracy, and social movements and a book to advance thinking about global power relations, capitalism, and violent conflict around the world.

**Fellowships:** Three Kroc scholars won visiting fellowships for the upcoming academic year: Asher Kaufman, a Woodrow Wilson Center fellowship for a project on Middle East conflict; George A. Lopez, a Jennings Randolph Senior Fellowship from the United States Institute of Peace for a book on sanctions; and Daniel Philpott, a fellowship from the Institute for Advanced Studies in Culture at the University of Virginia for a book on political reconciliation.





# Religion and Peacebuilding

## Deepening Engagement with the Muslim World

In what one scholar has called “a furiously religious world,” Islam and Roman Catholicism constitute the world’s largest religious communities and account for one-third of the human population. Both faiths find themselves enmeshed in competition for souls and (sometimes violent) conflict over resources, politics, and religion itself. Although these two global religions often have clashed, they share important fundamental orientations to the world—and rich teachings and practices of peace.

What can these global communities learn from each other? What are the points of intellectual, ethical, and cultural resonance? How might greater collaboration between Catholic and Muslim academics improve communication between societies and nations and serve the cause of peace?

During 2008-09, the Kroc Institute made preparations for a major, multi-year comparative research project on Islam and Roman Catholicism. Directed by Kroc director Scott Appleby, the project aims to create and sustain a community



of Catholic and Muslim scholars and public intellectuals devoted to mutual understanding of their common challenges of development, democratization, environmental change, and violent conflict.

Notre Dame is well-positioned to lead this effort. Drawing on the University’s rich heritage of faith-based scholarship, Kroc faculty and visiting fellows have published widely on the roots of religious violence and the potential for religious communities and movements to work for peace. With the addition to the faculty of Emad Shahin, Luce Associate Professor of Religion, Conflict, and Peacebuilding, the Kroc Institute has assembled a leading team of scholars in the field of religion and peacebuilding.

### Clockwise from top left:

*Each year, several Kroc master's students live and work at Notre Dame's Tantur Ecumenical Institute in Jerusalem during their five-month field site experience.*

*Nobel Peace Prize laureate Shirin Ebadi, shown with Scott Appleby, delivered the year's Hesburgh Lecture: "Human Rights in the Islamic World."*

*Kroc professor Atalia Omer is a specialist on issues of justice, peace, and conflict, especially in the Middle East.*

*Emad Shahin is the Luce Associate Professor of Religion, Conflict, and Peacebuilding at the Kroc Institute.*

*Eric Eggleston, M.A. '09, from the United States, completed a Kroc internship in Jerusalem.*

*Dareen Khattab, M.A. '09, from Palestine, celebrated with her family at Commencement.*

*Rashied Omar, from Cape Town, is Research Scholar of Islamic Studies and Peacebuilding at the Kroc Institute.*





# Sanctions and International Security

## Reforming Counter-Terrorism Policies Worldwide

In the years following 9/11, new international policies aimed at countering terrorism led to an erosion of human rights and civil liberties in many countries. Nongovernmental organizations that work to eradicate poverty, support basic human freedoms, and promote peace often are viewed with suspicion, and many have been severely crippled in their ability to operate.

In 2008–09, the Sanctions and Security Project completed a two-year research project to analyze how targeted sanctions and other counter-terrorism measures had affected the work of civil society organizations throughout Asia and Africa. The work was sponsored by Cordaid, the Netherlands-based international development agency that partners with nongovernmental organizations in more than 30 countries. The research took principal investigators David Cortright and George A. Lopez to the Netherlands, the Philippines, and Uganda, where they met with more than 150 representatives of civil society organizations, donor agencies, research centers, and governments.

The project resulted in three major research reports and policy recommendations that were presented to the United Nations and leaders of international development organizations. The first report, “Friend Not Foe: Civil Society



and the Struggle against Violent Extremism,” argued that civil society groups working for development and human rights should be welcomed and supported, rather than repressed, since these groups work to address the very injustices that are among the root causes of terrorism. Two other reports argued that many counter-terrorism policies are deeply flawed and then proposed methods for reform. *(The full reports are available at [kroc.nd.edu](http://kroc.nd.edu).)*

Also in 2008, the Sanctions and Security Project began working with members of governments serving on the United Nations Security Council on a new research and policy reform process to strengthen the effectiveness of targeted sanctions in changing the behavior of rogue states and violent actors without resorting to the use of force.



# Peace Accords and Processes

## How can outsiders help end wars?

The process of ending deadly conflict often involves third-party “outsiders”—mediators, negotiators, or advisors not aligned with either side of the conflict who contribute to a settlement and the laying down of arms. How can these third parties, whether individuals or organizations, be more effective? And how can they not merely stop the guns and active fighting, but also promote respect for human rights, reduced poverty, and social justice?

In collaboration with Uppsala University in Sweden, the Kroc Institute is conducting research, funded by the National Science Foundation, to examine how third parties can contribute to ending civil wars. The principal investigators are Erik Melander, senior research fellow at the Kroc Institute and deputy director of the Uppsala Conflict Data Program, and professors John Darby and Peter Wallensteen.

The research team will use in-depth case studies and statistical analysis to understand how to increase the success rate of conflict mediation efforts. In particular, researchers will examine how third parties obtain information about the conflict and how they build credibility among warring parties. A central aspect of this effort is the development of a new database with details on all third-party peacemaking in armed conflicts that occurred between 1989 and 2008. The dataset will include information on prominent individuals (Nelson Mandela and Jimmy Carter, for example), government representatives,

intergovernmental organizations (the United Nations and the European Union), nongovernmental organizations, and religious groups and actors.

The project is an outgrowth of the Kroc-Uppsala peacebuilding partnership. With assistance from Uppsala, the Kroc Institute has launched the first phase of the Peace Accords Matrix. This interactive database will include details on the more than 40 comprehensive peace agreements that have been signed in conflict zones around the world since the end of the Cold War.





## New Faculty

**Catherine Bolten** (Ph.D., anthropology, University of Michigan) joined the Kroc Institute as assistant professor of anthropology and peace studies. She brings expertise in a range of topics central to peace studies, including patterns of structural violence; the cultural and moral dimensions of violent conflict; survival and post-violence healing and social reconstruction; and the path to development in Africa. Her recent work has focused on Sierra Leone, where she conducted field research on post-war reintegration, focusing on the responses of youth to reconciliation and rebuilding efforts.

**Patrick Q. Mason** (Ph.D., history, University of Notre Dame) joined the Kroc Institute as research associate professor of history. He will serve as associate director for research for Notre Dame's multi-year research program comparing Roman Catholicism and Islam. From 2007-09, Mason taught history and directed the Center for American Studies at the American University in Cairo. He is an alumnus of Kroc's master's program in peace studies.

**Mary Ellen O'Connell** (J.D., Columbia University) was named research professor of international dispute resolution at the Kroc Institute. She is the Robert and Marion Short Professor of Law at Notre Dame, a position she has held since 2005. O'Connell's research focuses on peace through law, specifically international legal regulation of the use of force, conflict and dispute resolution, and the resolution of disputes prior to an escalation to armed conflict. Before joining the Notre Dame faculty, she was the William B. Saxbe Designated Professor of Law at Ohio State University.

## Faculty Fellows Research

**Viva Bartkus** (management) received a Kroc Faculty Fellow Research Grant for a project in which she and Notre Dame MBA students investigated the role of business in post-war reconstruction efforts in Bosnia and Lebanon.

**Eileen Hunt Botting** (political science) received a Kroc Faculty Fellow Research Grant to explore how Mary Wollstonecraft and John Stuart Mill, the leading philosophers of women's rights in the 19th century, contributed to the invention of feminism and its intersection with peace, labor, and human rights movements.

**E. Mark Cummings** (psychology) received a Kroc Faculty Fellow Research Grant for a study on the effects of political violence on children in Croatia, a continuation of a five-year project examining political violence on children in Northern Ireland.

**Michael C. Desch** (political science) received a Kroc Faculty Fellow Research Grant to analyze the trends, causes, and consequences of the waning influence of academics on U.S. national security policy and offer guidance on how scholars and policymakers can engage each other on national security issues.

**Sebastian Rosato** (political science) received a Kroc Faculty Associate Fellowship to work on a book about the construction of the European Union, focusing on the causes of European integration and the wider debate among international relations scholars about the causes of war and peace.

**Todd Whitmore** (theology) received a Kroc Faculty Associate Fellowship for work in Uganda on a book that explores the conditions under which people are willing to undertake risks, even to their own lives, in situations of armed conflict.

## Visiting Fellows Research

**Scott Byrd**, a Ph.D. candidate in sociology at the University of California, Irvine, worked at Kroc and the Center for the Study of Social Movements and Social Change to examine the dynamics of transnational coalitions and networks and the conflict articulation strategies they employ.

**Sharon Erickson Nepstad**, professor of sociology and director of religious studies at the University of New Mexico, worked at Kroc and the Center for the Study of Religion and Society to research nonviolent citizen movements of the late 20th century, exploring why some peaceful revolutions succeed and others fail.

**Desirée Nilsson**, assistant professor of peace and conflict research at Uppsala University, Sweden, worked on "Peace by Piece: Multiple Actors in Peace Processes in Civil Wars," exploring how the presence of multiple actors in civil wars affects the prospects of reaching negotiated settlements and durable peace.

**Naveed S. Sheikh**, international relations faculty, Keele University, United Kingdom, completed a book-length project titled "After Islamism? The Post-Islamist Turn in Muslim Politics," examining the emerging trend of counter-radical "post-Islamism" across the Muslim world and its ramifications for Muslim discourse on conflict and conflict resolution.

**Manish Thapa**, regional coordinator, South Asian Regional Cooperation Academic Network and co-director of the International Peace Research Association, worked on his project "From Bullet to Ballot: The Politics of Peacemaking in Nepal" and supported the Nepal-based work of John Paul Lederach, professor of international peacebuilding.

## Kroc People

### Institute Directors

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*John M. Regan Jr. Director and Professor of History*

**Hal Culbertson**

*Executive Director*

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*Director of External Relations*

**Catherine Bolten**

*Assistant Professor of Anthropology and Peace Studies*

**David Cortright**

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*Professor of Comparative Ethnic Studies*

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*Assistant Professor of History and Peace Studies*

**John Paul Lederach**

*Professor of International Peacebuilding*

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*Rev. Theodore M. Hesburgh, C.S.C., Professor of Peace Studies*

**Patrick Q. Mason**

*Research Associate Professor*

**Erik Melander**

*Senior Research Associate*

**Mary Ellen O'Connell**

*Research Professor of International Dispute Resolution*

**Rashied Omar**

*Research Scholar of Islamic Studies and Peacebuilding*

**Atalia Omer**

*Assistant Professor of Religion, Conflict, and Peace Studies*

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*Associate Professor of Sociology and Peace Studies*

**Jason Springs**

*Assistant Professor of Religion, Ethics, and Peace Studies*

**Susan St. Ville**

*Associate Director of Academic Programs*

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*Assistant Professor of Political Science and Peace Studies*

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*Business Manager*

**Jill Bruins**

*Graduate Program Manager*

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*Director of Communications*

**Rosemarie Green**

*Student Services Assistant*

**Anne E. Hayner**

*Associate Director for International Development and Alumni Relations*

**Diane P. King**

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*Faculty Assistant*

**Renée LaReau**

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**Katie Mansfield**

*Peacebuilding Network Coordinator*

**Andre Ratasepp**

*Senior Technical Support Consultant/Analyst*

**Kathy Smarrella**

*Events Coordinator*

**Anna Van Overberghe**

*Undergraduate Program Manager*

### Visiting Research Fellows

**Scott Byrd**

*Ph.D. Candidate in Sociology, University of California-Irvine*

**Sharon Erickson Nepstad**

*Professor of Sociology and Director of Religious Studies, University of New Mexico*

**Desirée Nilsson**

*Assistant Professor, Peace and Conflict Research, Uppsala University, Sweden*

**Naveed S. Sheikh**

*International Relations Faculty, Keele University, United Kingdom*

**Manish Thapa**

*Regional Coordinator, South Asian Regional Cooperation Academic Network and Co-director of the International Peace Research Association*

### Kroc-Catholic Relief Services Fellows

**Ameth Diouf**

*Catholic Relief Services West Africa Regional Office Peacebuilding/Partnership and Governance Advisors Team*

**Jean Baptiste Talla**

*Catholic Relief Services Regional Technical Advisor for Peacebuilding and Partnership for the Central Africa Regional Office*

### Research staff

**Carl Bindenagel**

*Research Assistant*

**Silke Denker**

*Research Fellow*

**Matthew Warren**

*Research Assistant*

### Faculty Fellows

**Asma Afsaruddin**

*Associate Professor of Classics*

**Viva Bartkus**

*Associate Professor of Management*

**Michael J. Baxter**

*Visiting Associate Professional Specialist in Theology*

**Eileen Hunt Botting**

*Thomas J. and Robert T. Rolfs Associate Professor of Political Science; Director, Gender Studies Program*

**Rev. David B. Burrell, C.S.C.**

*Rev. Theodore M. Hesburgh, C.S.C., Professor Emeritus of Philosophy and Theology; Professor of Ethics and Development, Uganda Martyrs University, Nkozi, Uganda*

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*Professor of Law; Director, Center for Civil and Human Rights*

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*Packey J. Dee Professor Emeritus of Political Science and Philosophy*

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*Chair and Professor of Political Science*

**Rev. Robert Dowd, C.S.C.**

Assistant Professor of  
Political Science

**Alan K. Dowty**

Professor Emeritus, Political Science

**Amitava Dutt**

Professor, Department of  
Economics and Policy Studies

**Barbara J. Fick**

Associate Professor of Law

**Agustin Fuentes**

Professor of Anthropology

**Rev. Patrick D. Gaffney, C.S.C.,**

Associate Professor of Anthropology

**Rev. Daniel G. Groody, C.S.C.**

Assistant Professor of Theology

**Alexandra Guisinger**

Assistant Professor of  
Political Science

**Frances Hagopian**

Michael Grace III Associate Professor  
of Latin American Studies, Associate  
Professor of Political Science

**Vittorio G. Hösle**

Professor of German; Concurrent  
Professor of Philosophy; Concurrent  
Professor of Political Science

**Tin-bor Victoria Hui**

Assistant Professor of  
Political Science

**Debra Javeline**

Associate Professor of  
Political Science

**Lionel M. Jensen**

Associate Professor of East Asian  
Languages & Cultures; Concurrent  
Associate Professor of History

**Ruthann K. Johansen**

Professor Emeritus, Program  
of Liberal Studies; President,  
Bethany Theological Seminary,  
Richmond, Ind.

**Rev. Paul V. Kollman, C.S.C.**

Assistant Professor of Theology

**Keir A. Lieber**

Associate Professor of  
Political Science

**Rev. William M. Lies, C.S.C.**

Executive Director of the Center for  
Social Concerns; Associate Profes-  
sional Specialist in Political Science

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Associate Professor of  
Political Science

**Omar Lizardo**

Assistant Professor of Sociology

**Cynthia Mahmood**

Associate Professor of Anthropology

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of Political Science; Director, Kellogg  
Institute for International Studies

**James McAdams**

William M. Scholl Professor of  
International Affairs (Political  
Science); Director, Nanovic Institute  
for European Studies

**Daniel J. Myers**

Professor of Sociology;  
Associate Dean for Research,  
Graduate Studies, and Centers,  
College of Arts and Letters

**Monika Nalepa**

Assistant Professor of  
Political Science

**Darcia Narvaez**

Associate Professor of Psychology

**Mark Noll**

Francis A. McAnaney Professor  
of History

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Assistant Professor of Theology

**Richard B. Pierce**

Associate Professor of African  
Studies; Chair of Africana Studies

**Donald Pope-Davis**

Professor of Psychology;  
Vice President and Associate  
Provost

**Luc Reydam**

Associate Professional Specialist  
in Political Science

**Sebastian Rosato**

Assistant Professor of  
Political Science

**David F. Ruccio**

Professor of Economics  
and Policy Studies

**Maura A. Ryan**

Associate Professor, Department of  
Theology; Associate Dean for Faculty  
Affairs and Professional Develop-  
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